

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

0020-5328PUS1

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/517446**

INTERNATIONAL APPLICATION NO.

PCT/JP03/07382

INTERNATIONAL FILING DATE

June 10, 2003

PRIORITY DATE CLAIMED

12 June 2002

TITLE OF INVENTION

INDOLE, INDAZOLE, AND BENZAZOLE DERIVATIVE

APPLICANT(S) FOR DO/EO/US

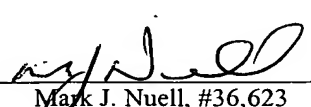
Yoshihide UENO; Tsuyoshi NOGUCHI; Kotaro HIROTA; Nobuyuki SAWADA; and Takashi UMEZOME

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not transmitted by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  - a. ☒ is attached hereto.
  - b. ☐ has been submitted to the Internal Bureau. (See Box VIII-4-1 of the Request (PCT/R0/101), **copy attached**). The Power of Attorney or Authorization of Agent and Certificate under 37 CFR 3.73(b) Showing Chain of Title are: ☐ attached hereto. ☐ will follow.
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449(s), and International Search Report (PCT/ISA/210) with 0 cited document(s).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet
15. ☐ A substitute specification
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
PCT/IB/308  
PCT/IB/304  
PCT/ISA/210

|   |                |  |            |   |  |
|---|----------------|--|------------|---|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/517446</b>  |                | INTERNATIONAL APPLICATION NO<br>PCT/JP03/07382 |            | ATTORNEY'S DOCKET NUMBER<br>0020-5328PUS1   |  |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492)</b><br>Basic National Stage Fee .....\$300.00<br>National Stage Search Fee .....\$500.00<br>National Stage Examination Fee .....\$200.00<br><div style="text-align: right;"><b>\$1000.00</b></div>  |                |  |            | <div style="text-align: right;"><b>\$ 1000.00</b></div>   |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |                |  |            | <b>\$ 1000.00</b>   |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |                |  |            | <b>\$</b>   |  |
| SHEETS OF SPEC & DWG  | 210 -100 = 110 | 3 x 250 =                                      | \$750.00   | <b>\$ 750.00</b>  |  |
| CLAIMS  | NUMBER FILED   | NUMBER EXTRA                                   | RATE       |   |  |
| Total Claims  | 19 - 20 =      | 0  | x \$50.00  | <b>\$ 0.00</b>  |  |
| Independent Claims  | 1 - 3 =        | 0  | x \$200.00 | <b>\$ 0.00</b>  |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) Yes   |                |  | + \$360.00 | <b>\$ 360.00</b>  |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |                |  |            | <b>\$ 2110.00</b>   |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.   |                |  |            | <b>\$ 0.00</b>  |  |
| <b>SUBTOTAL =</b>   |                |  |            | <b>\$ 2110.00</b>   |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).  |                |  |            | <b>\$ 0.00</b>  |  |
| <b>TOTAL NATIONAL FEE =</b>   |                |  |            | <b>\$ 2110.00</b>   |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |                |  |            | <b>\$ 40.00</b>   |  |
| <b>TOTAL FEES ENCLOSED =</b>  |                |  |            | <b>\$ 2150.00</b>   |  |
|   |                |  |            | <b>Amount to be: refunded \$</b>  |  |
|   |                |  |            | <b>charged \$</b>   |  |
| a. <input checked="" type="checkbox"/> A check in the amount of \$2,150.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account. No. 02-2448 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 02-2448. |                |  |            |   |  |
| <b>NOTE: Where an appropriate time limit under 37 C.F.R. § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>   |                |  |            |   |  |
| Send all correspondence to:<br><b>Birch, Stewart, Kolasch &amp; Birch, LLP or Customer No. 02292</b><br><b>P.O. Box 747</b><br><b>Falls Church, VA 22040-0747</b><br><b>(703) 205-8000</b>  |                |  |            |   |  |
| <b>Date: December 9, 2004</b>   |                |  |            | By <br>Mark J. Nuell, #36,623 |  |

/clb